



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/703,504	10/31/2000	Arthur Zavalovsky	50325-0128

Hickman Palermo Truong & Becker LLP  
1600 Willow Street  
San Jose, CA 95125-5106

FORMALITIES LETTER



Date Mailed: 02/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/11/2001 SHINASS1 00000042 09703504

FILED UNDER 37 CFR 1.53(b)

01 FC:101 710.00 DP  
02 FC:102 240.00 DP  
03 FC:105 130.00 DP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$240.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney Docket No.: 50325-0128

See cfor \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Arthur ZAVALKOVSKY, et al.

Group Art Unit: 2151

Application No.: 09/703,504

Examiner: NYA

Filed: October 31, 2000

Title: METHOD AND APPARATUS FOR  
COMMUNICATING NETWORK QUALITY  
OF SERVICE POLICY INFORMATION TO A  
PLURALITY OF POLICY ENFORCEMENT  
POINTS

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL  
APPLICATION**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

(X) Statutory basic filing fee \$710.00 (X) Utility ( ) Design

(X) Additional claim fees of \$240.00

(X) Missing Parts Surcharge = \$130.00

( ) \_\_\_ sheets of substitute drawings in compliance with 37 CFR 1.84.

( ) Extension of Time Request for reply to Notice of Missing Parts is requested.

( ) one month = \$110.00

( ) two months = \$380.00

( ) three months = \$890.00

( ) four months = \$1,390.00

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: April 5, 2001

Typed Name: Tirenna Say

Signature: Tirenna Say

Respectfully submitted,

By Christopher J. Palermo

Christopher J. Palermo

Reg. No. 42,056

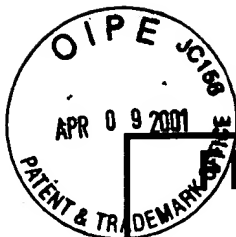
Date: April 5, 2001

Telephone No.: (408) 414-1080

Hickman Palermo Truong & Becker, LLP

1600 Willow Street

San Jose, California 95125-5106



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL for FY 2001</b> <i>Patent fees are subject to annual revision, Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 AND 1.28</i>		<b>Complete if Known</b>	
		Application Number	09/703,504
		Filing Date	October 31, 2000
		First Named Inventor	Arthur ZAVALKOVSKY, et al.
		Examiner Name	NYA
Group/Art Unit	2151	Attorney Docket No.	50325-0128
TOTAL AMOUNT OF PAYMENT		(\$ ) 1080.00	

<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																																																																																																																																																																																	
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EXTRA CLAIM FEES</b></td><td colspan="4"><b>SUBTOTAL (3)</b></td></tr><tr><td>Total Claims</td><td>20</td><td>-20**=</td><td>0</td><td>x</td><td>18.00</td><td>=</td><td></td></tr><tr><td>Independent Claims</td><td>6</td><td>-3**=</td><td>3</td><td>x</td><td>80.00</td><td>=</td><td>240.00</td></tr><tr><td colspan="4">Multiple Dependent</td><td></td><td></td><td>=</td><td></td></tr><tr><td colspan="4">**or number previously paid, if greater; For Reissues, see below</td><td></td><td></td><td></td><td></td></tr><tr><td>Large Entity Fee Code</td><td>Large Entity Fee \$</td><td>Small Entity Fee Code</td><td>Small Entity Fee \$</td><td>Fee Description</td><td>Fee Paid</td><td></td><td></td></tr><tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td></td><td></td><td></td></tr><tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td><td></td><td></td><td></td></tr><tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim, if not paid</td><td></td><td></td><td></td></tr><tr><td>109</td><td>80</td><td>209</td><td>40</td><td>**Reissue independent claims over original patent</td><td></td><td></td><td></td></tr><tr><td>110</td><td>18</td><td>210</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td><td></td><td></td><td></td></tr><tr><td colspan="4"><b>SUBTOTAL (2)</b></td><td><b>(\$ ) 240.00</b></td><td></td><td></td><td></td></tr><tr><td colspan="2"><b>SUBMITTED BY</b></td><td colspan="6"><b>Complete (if applicable)</b></td></tr><tr><td>Name (Print/Type)</td><td>Christopher J. Palermo</td><td>Registration No. (Attorney/Agent)</td><td>42,056</td><td>Telephone</td><td>(408) 414-1080</td><td colspan="2"></td></tr><tr><td>Signature</td><td></td><td>Date</td><td>April 5, 2001</td><td colspan="4"></td></tr></tbody></table>				Large Entity Fee Code	Large Entity Fee \$	Small Entity Fee Code	Small Entity Fee \$	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		139	130	139	130	Non-English specification		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	390	216	195	Extension for reply within second month		117	890	217	445	Extension for reply within third month		118	1,390	218	695	Extension for reply within fourth month		128	1,890	228	945	Extension for reply within fifth month		119	310	219	155	Notice of Appeal		120	310	220	155	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,210	241	605	Petition to revive - unintentional		142	1,210	242	605	Utility issue fee (or reissue)		143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))		149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) Surcharge as set forth in 37 CFR 1.16(e)					130.00	Other fee (specify)						<b>1. 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Name (Print/Type)	Christopher J. Palermo	Registration No. (Attorney/Agent)	42,056	Telephone	(408) 414-1080																																																																																																																																																																																																																																																																																																																																														
Signature		Date	April 5, 2001																																																																																																																																																																																																																																																																																																																																																

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